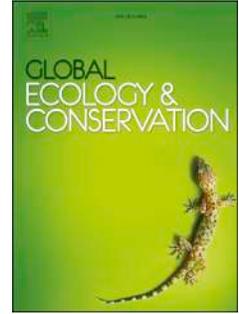


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## Effect of Seasonality and Light Levels on Seed Germination of the Invasive Tree *Maesopsis eminii* in Amani Nature Forest Reserve, Tanzania

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1

### 2 Abstract

3 Studies on germination behavior are important tools for understanding how environmental factors  
4 affect geographic distribution and colonization of invasive plants. Particularly seedlings of invasive  
5 plant species benefit from high light intensity, as often found in disturbed areas of low canopy cover.  
6 We investigated the effect of various shade levels on seed germination and early growth of the invasive  
7 tree *Maesopsis eminii* at the nursery of a biodiversity hotspot, the Amani Nature Forest Reserve,  
8 Tanzania. Shade houses provided forest-like sun flecks of four categories (0%, 50%, 65% and 85%  
9 shade), representing light regimes found in tropical natural forests throughout the entire growing  
10 season. The average germination rate across the four different shade levels differed significantly during  
11 the dry season ( $F_{3,12} = 48.74$ ,  $P < 0.001$ ) but not in the wet season ( $F_{3,12} = 3.49$ ,  $P = 0.051$ ). Final  
12 germination percentage at 0% shade was 1.5 times higher compared to that under 85% shade during the  
13 wet season. In both dry and wet seasons, stem diameter, shoot height, total fresh and dry biomass  
14 significantly decreased with an increase in shade levels. During the dry season, leaf chlorophyll  
15 contents were three times higher at 85% and 65% shade than at 0% shade. Both seasonality and shade  
16 levels as well as their interactions influenced most germination parameters but not growth parameters  
17 except stem diameter. We conclude that *M. eminii* seed germination is fostered by light as it prefers  
18 colonizing in forest gaps, and lower light levels might act as a barrier to its invasive capacity,  
19 particularly during the dry season. Hence, management strategies of *M. eminii* should include the  
20 provision of unfavorable light regimes and take seasonality into account.

21

22 **Keywords:** *Shade, Biodiversity hotspot, Tree seedling, Forest gaps, Disturbance*

23

24

25

## 26 1. Introduction

27 Biological invasion is the introduction and establishment of an invasive species beyond its natural  
28 range, where it may proliferate and spread dramatically (Simberloff, 2013). The International Union for  
29 Conservation of Nature (IUCN) defines invasive plant species as those plants established in natural or  
30 semi-natural ecosystems or habitat, become an agent of change, and threaten native biological diversity  
31 (IUCN, 2000). Biological invasions caused by invasive plant species is one of the major threats to  
32 biological diversity reported by scientists and one of the main factors driving environmental  
33 degradation in various parts of the world (Nottingham et al. 2019). Invasive plant species become well  
34 established, transform and dominate the ecology of their adoptive homes by suppressing or displacing  
35 resident species or by subverting and disrupting the functional integrity and service delivery of  
36 colonized ecosystems (Boy, 2005). Once established, invasive plant species can spread rapidly,  
37 impoverishing biodiversity and undermining human welfare, while damaging native species,  
38 ecosystems and communities as well as causing loss and degradation of habitats (Viisteensaari et al.  
39 2000).

40  
41 Forest ecosystem and rangelands have been increasingly infested by both woody and herbaceous  
42 invasive plants (Binggeli, 1998). Some of these invasive plant species can have cascading impacts such  
43 as alteration of tree species composition, changes in forest succession, declines in biological diversity,  
44 and alteration of nutrient, carbon and water cycles (Liebhold et al. 2017). In India, *Leucaena*  
45 *leucocephala*, which was planted as a fodder crop in agroforestry systems due to its prolific natural  
46 regeneration in open gaps, quickly became a problematic invasive tree species (Binggeli, 1998). In  
47 Ethiopia and Kenya, *Prosopis juliflora* is one of the world's worst woody invasive plant forming  
48 impenetrable shrubby thickets, causing an irreversible displacement of beneficial native species, pasture  
49 grasses as well as native tree species (Abdulahi et al. 2017; and Obiri, 2011).

50  
51 In natural habitats, successful invasion and colonization ability of invasive plant species are influenced  
52 by both biological and environmental factors (Moghadam and Alaei, 2014). Invasive plant species'  
53 seed germination, seedling establishment and geographical distribution are affected by a wide range of  
54 environmental factors such as light intensity, temperature, water availability, soil salinity, seasonality  
55 (dry and wet), functional traits and others (Dibenedetto, 1991; Flores et al., 2016; Maharjan et al.,  
56 2011). Therefore, invasive species with prolific seed production and dispersal mechanisms find

57 favorable environmental factors in new habitats and can spread faster (Green et al. 2004). Seed  
58 germination has been stated as one of the most critical stages in the natural regeneration of invasive  
59 plant species. The process is influenced by light intensity, temperature and moisture content (Zhang et  
60 al. 2012). Therefore, studying seed traits and germination behavior is an important step towards  
61 developing guidelines and strategies for prevention and control of invasive plant species.

62  
63 The response of seeds to light during germination is an important development phase, playing a critical  
64 role in seedling establishment and overall environmental adaptation for invasive plants (Fenner and  
65 Thompson, 2005). A study by Leal et al. (2013) indicated that the invasive species *Cortaderia jubata*  
66 has three times higher germinability under high light than in dark conditions. Survival, growth and  
67 death of *M. eminii* seedlings were investigated under contrasting environments where by a higher  
68 germination percentage of up to 92% was found in open environment and a lower survival rate of *M.*  
69 *eminii* seedlings were found in the shaded environment (Binggeli, 1989). Despite all these studies on  
70 the success of invasive plants, light sensitivity and performances of *M. eminii* seeds under different  
71 shade levels during germination stage has never been quantified and, up to now there has been no  
72 experimental approach conducted to establish the optimal light level for *M. eminii* seed germination  
73 across different seasons. As many alien plants show an increase in their germination rates when  
74 exposed to high light conditions this study provides potential invasion hotspots and will help  
75 management to limit invasion ability and colonization of new forest habitats.

76  
77 In addition, variation in seasonality has also shown to have an influence on germination success and  
78 spread, particularly for invasive plants that lack vegetative propagation (Fenner and Thompson, 2005).  
79 To understand factors for invasiveness and devise sustainable management of invasive tree species  
80 particularly *M. eminii*, detailed knowledge of its seed ecology is crucial for understanding its invasive  
81 behavior. Kyereh et al. (1999); Leal et al. (2013); and Svriz et al. (2014) indicated that studies on  
82 germination behavior in response to light levels are useful tools in the investigation of environmental  
83 factors affecting geographic distribution as well as for understanding colonization abilities and  
84 adaptation strategy of exotic plants introduced in new habitats. In this paper, we hypothesized that the  
85 rate of germination of *M. eminii* seeds will decrease with the increase in shade levels. We further  
86 hypothesized that wet season conditions would be more favorable for *M. eminii* seedling establishment  
87 than the dry season. To test for these hypotheses, we quantified both *M. eminii* seed germination and

88 growth parameters in experiments at the Amani Nature Reserve nursery, Tanzania. Germination  
89 parameters included five parameters which are the Final Germination Percentage (FGP), Mean  
90 Germination Time (MGT), Germination Index (GI), Coefficient of Velocity of Germination (CVG) and  
91 Germination Rate Index (GRI). Also, the study evaluated morphological growth characteristics of  
92 germinated *M. eminii* seeds in order to establish variation in seedling health and quality. Growth  
93 parameters evaluated during this study included the shoot height (SH), stem diameter (SD), total fresh  
94 biomass (TFB), total dry biomass (TDB) and total leaf chlorophyll content (ChC).

95

### 96 **1.1 Botanical description of *Maesopsis eminii***

97 *Maesopsis eminii* (Rhamnaceae family) is an angiosperm drought-tolerant rain forest tree (Epila et al.,  
98 2017a). The species has simple alternate leaves with an obovoid drupe fruit 20-35 x 10-18 mm,  
99 changing from green to yellow to purple-black when mature (Orwa et al., 2009). According to  
100 Mugasha, (1981) *M. eminii* trees possess flowers that are bisexual and yellowish-green. It is a fast  
101 growing, gregarious pioneer and semi-deciduous tree, which can reach up 10 - 30 m in height with a  
102 clear bole up to 20 m and 70 - 80cm diameter at breast height (Viisteensaari et al., 2000). The species  
103 germinates successfully and grows well in disturbed areas with canopy gaps of at least 300 square  
104 meters (Kilawe et al. 2018). Similarly, Cordeiro et al. (2004) found that the greatest proportion of  
105 experimental seeds of *M. eminii* germinated in large tree-fall gaps and forest edges, where light and  
106 availability of bare humus soil enhanced germination process. It is extremely competitive in forest  
107 gaps and secondary forests, survive well on poor soils and have a faster growth rate than coniferous  
108 trees, which has accounted for its extensive use in afforestation enrichment, ecological restoration,  
109 plantation forestry and agroforestry practices (Ani and Aminah, 2006; Orwa et al., 2009).

110

111 In the East Usambara Mountains, *M. eminii* was used for afforestation enrichment and restoration to fill  
112 forest gaps and clear-felled areas after expansion of peasant's agriculture and large scale logging  
113 operations in the 1960's (Geddes, 1998; Hall, 1995). Binggeli (1989) reported that preference of *M.*  
114 *eminii* was due to its quick growth rate and a 40-years felling cycle instead of 80-years for other native  
115 trees producing hard wood. In other parts of Tanzania, the species is widely used in home gardens as  
116 shade or border tree and for timber production due to its rapid regeneration (Hall, 2010). Outside  
117 Tanzania, *M. eminii* has been extensively used across the tropics in timber plantations and as a key  
118 component in agroforestry (Hall, 2010). Reports indicate the use of *M. eminii* as a shade tree in coffee,

119 banana, cocoa and cardamom plantations in Kenya, India, Congo, Uganda, Indonesia and in Ghana  
120 (Hall, 2010).

121

### 122 **Invasion of *Maesopsis eminii* in East Usambara**

123 *Maesopsis eminii* is typically a Guineo-Congolian species, with its range corresponding to African  
124 lowland rain forest zone (Binggeli, 1989; Epila et al. 2017; Hall, 1995). In Tanzania, *Maesopsis eminii*  
125 has been found to be one of the highly successful invasive woody plants in Amani Nature Forest  
126 Reserve (Binggeli 1989; Binggeli and Hamilton 1993; Hulme et al. 2013). Binggeli and Hamilton  
127 (1993) presumed that this aggressive tree species were introduced to the East Usambara Mountain by  
128 Germans around the 1910s for plant experimental growth studies and to shade seedlings of native  
129 plants species such as *Cephalosphaela usambarensis*, *Newtonia buchananii* and *Berchemedia kweo* in  
130 order to enhance growth. Large scale planting in the 1960s and 1970s to fill logged forest gaps created  
131 a massive seed source of *M. eminii* and helped this species spread into the endemic rich natural forests  
132 due to its fast growth rate and prolific seed production (Hall, 1995; Hamilton and Bensted-Smith,  
133 1989). This invasive tree is becoming a dominant species in natural forestry as well as agroforestry  
134 systems in the East Usambara Mountains, Northern Tanzania (Hall et al., 2011), and its dominance  
135 leads to impoverished understory scrub and herb vegetation and alternated canopy structure and species  
136 composition (Musila, 2006). However, not much is known on the factors contributing to its invasive  
137 success and susceptible areas, which must be identified to inform sustainable management options.

138

139 Apart from the East Usambara mountains, *M. eminii* invasion has also been reported on Pemba island,  
140 Tanzania, and in Puerto Rico (Hall, 2010). Various ecophysiological studies have been conducted to  
141 shed light on the aggressive nature of *M. eminii*. Epila et al., (2017b) and Hubeau et al., (2019)  
142 demonstrated that adaptive physiological responses such as an active phloem loading strategy and  
143 drought-induced cavitation proved to be successful for its colonization. Hydraulic capacitance linked to  
144 anatomy and leaf-water relocation seems to be one of the crucial ecophysiological traits for the  
145 drought-resistance of *M. eminii* ( Epila et al., (2017b). These unique characteristics, in addition to  
146 drought-deciduous leaves, the ability to tolerate drought for up to 6 months, its fast growth capability  
147 and high light demand promote this species' invasive nature (Eggeling, 1947; Epila et al., 2017b, 2018;  
148 Hubeau et al., 2019). However, there is no clear information on whether these attributes are also valid  
149 for *M. eminii* invasion in East Usambara, Pemba and Puerto Rico islands. This study focuses to assess

150 seed biology (germination) in response to light levels and seasonality as one of the physiological traits  
151 of *M. eminii* in relation to its invasiveness. We assessed germination of *M. eminii* seeds in both wet and  
152 dry seasons using germination parameters, namely Final Germination Percentage, Mean Germination  
153 Time, Germination Index, Coefficient of Velocity of Germination and Germination Rate Index (GRI)  
154 similar to Ajmal Khan and Ungar, (1998) and Al-Ansari and Ksiksi (2016). We also evaluated growth  
155 parameters (shoot height, stem diameter, total fresh biomass, total dry biomass and total leaf  
156 chlorophyll content) to assess seedling health across different shading levels and seasons.

157

## 158 **2. Materials and methods**

### 159 **2.1 Study area**

160 The study was conducted in Amani Nature Forest Reserve (ANFR) at Kwamkoro Central Nursery. The  
161 reserve is located at 5°5'S and 38°40'E, at 950 masl, in North Eastern Tanzania (Figure.1). The reserve  
162 is with 8,360 hectares, the largest nature reserve in the East Usambara Mountains and renowned for its  
163 high biodiversity per unit area (Miller, 2013). According to Frontier Tanzania (2001) and Hulme et al.  
164 (2013), the reserve is home to seven endangered and 26 vulnerable species according to IUCN  
165 categories, while six animal species and one sub species are considered endemic to the Usambara  
166 Mountains. Recently, invasion by exotic *M. eminii* was noted to be a serious threat to the Amani Nature  
167 Forest Reserve (Gereau et al. 2016). Casual observations indicate that the species has reached nearby  
168 forests such as Mlinga, Magoroto and Nilo Forest Reserve. In the late 1980s, 15% of gaps in Amani  
169 nature reserve contained *M. eminii*, with floristically impoverished understory vegetation, little  
170 regeneration of primary forest trees and poor animal and plant diversity including that of the soil fauna  
171 (Hamilton and Bensted-Smith, 1989). Invasion and spread of *Maesopsis* in Amani have raised concerns  
172 that it may dominate a significant area of the forest and thereby negatively impacting the biodiversity  
173 (Hall et al. 2011).

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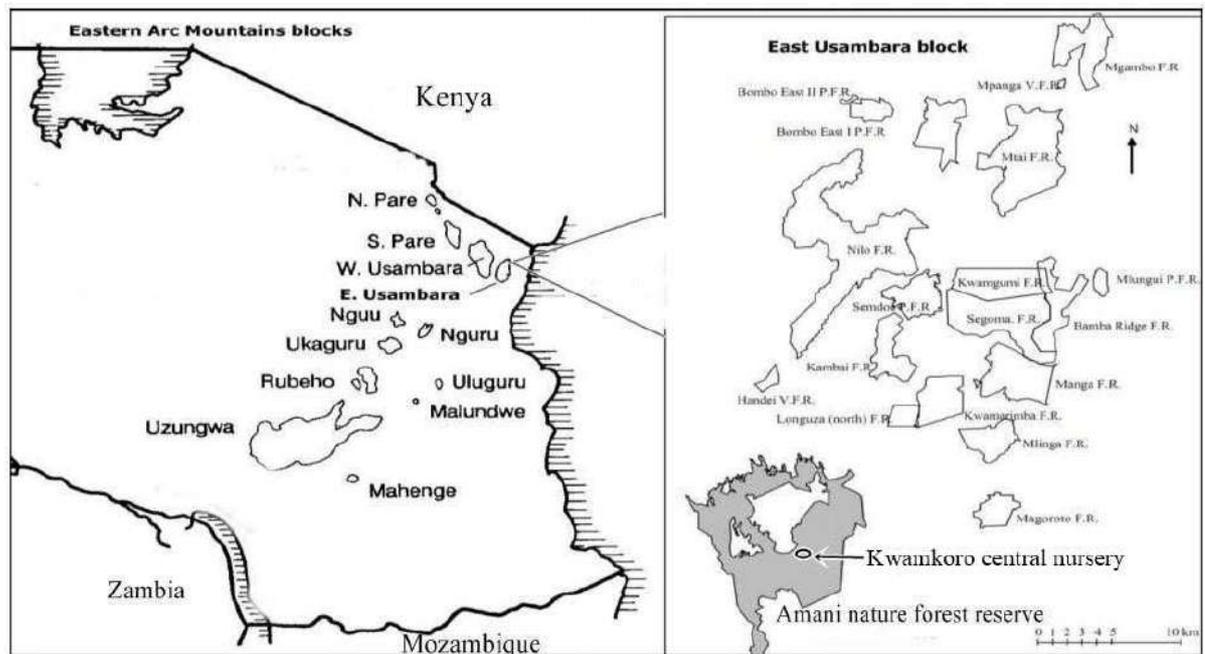
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181 **Figure 1:**

182 Map of East Usambara showing the location of Amani Nature Forest Reserve and Amani central  
 183 nursery.



184

185 **2.2 Study design**

186 The experiment was conducted in shade houses at the central nursery, Kwamkoro Station, in Amani  
 187 Nature Forest Reserve. Shade houses (Fig 2) were constructed with shade net (hessian nylon,  
 188 Illuminum Company Ltd, Nairobi Kenya) to provide forest-like sun flecks. Shade nets (one meter  
 189 square each side) were calibrated with shade level-categories of 0% (L0), 50% (L50), 65% (L65) and  
 190 85% (L85), representing light regimes frequently found in tropical natural forests throughout the entire  
 191 growing seasons (Flores et al. 2016; Kyereh et al. 1999; Svriz et al., 2014). We adopted methods for  
 192 seed germination experiments in *Pinus* species by Zhang et al., (2012) with some modification.  
 193 *Maesopsis eminii* seeds were obtained from Amani Central Nursery, collected in February 2018 and air  
 194 dried for four weeks before sowing as recommended by in Hamilton and Bensted-Smith (1989).

195

196

197

198 **Figure 2**

199 Shade houses for *Maesopsis eminii* seed germination experiments at Kwamkoro central nursery, Amani  
 200 Forest, Tanzania. Shade house A = 50% shade level, B = 65% shade level C= 85% shade level.

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209 Soils were collected from the forest, sieved to exclude residual roots or seeds and air dried prior to use.

210 Ten seeds were sowed per each shade category and replicated four times, i.e., a total of 160 seeds were

211 sowed. Seed beds at each shade level were kept moist by regular watering *ad libitum*. In this

212 experiment, germination was defined as the first needle or radicle sprout becoming visible (Flores et al.

213 2016) and germination success was recorded at 7-day intervals and ceased when no further seeds

214 germinated for more than one week. The experiments were carried out in March and April, 2018,

215 during the wet season, with an average monthly precipitation and temperature of 256 mm and 23°C and

216 repeated during the dry season, in July and August, 2018, with average precipitation and temperature of

217 67 mm and 15°C, respectively (Frontier Tanzania, 2001; Hall et al. 2011).

218 **2.3 Data collection and analysis**

219 **2.3.1 Germination parameters**

220 Five different germination parameters namely Final Germination Percentage (FGP), Mean Germination

221 Time (MGT), Germination Index (GI), Coefficient of Velocity of Germination (CVG) and Germination

222 Rate Index (GRI) were assessed consistently to (Ajmal Khan and Ungar, 1998; Al-Ansari and Ksiksi,

223 2016; Aravind et al. 2018; and Kader, 2005). Final Germination Percentage (FGP) attained under each

224 shade level was calculated as:

225 (1)  $FGP = \frac{N_g}{N_t} \times 100\%$

226 Whereby  $N_g$  = Total number of seeds germinated and  $N_t$  = Total number of seeds evaluated. The Mean  
227 Germination Time (MGT) of seeds under a given shade level was calculated as:

228 (2)  $MGT = (\sum Ni * Ti) / (\sum Ni)$

229 Whereby  $N_i$  = Number of seeds germinated per day and  $T_i$  = Number of days from the starting the  
230 experiment. The FGP and MGT were combined and presented in the form of Germination Index (GI)  
231 calculated based on the formula:

232 (3)  $GI = \sum Nx * Ti$

233 with  $N_x$  = Number of germinated seeds at the end of the experiment and  $T_i$  = Number of days from the  
234 beginning to the end of the experiment. Coefficient of Velocity of Germination (CVG) was calculated  
235 to find out the rapidity of germination through the following formula:

236 (4)  $CVG = (\sum Ni * 100) / (\sum Ni * Ti)$

237  $N_i$  = Number of seeds germinated in a given period of time,  $T_i$  = Number of days, Germination Rate  
238 Index (GRI) represented the percentage of germination per day and was calculated by the following  
239 formula:

240 (5)  $GRI = \sum Ni / Ti$

241 Where  $N_i$  = Number of seeds germinated in a given time,  $T_i$  = Number of days.

242

### 243 2.3.2 Growth parameters

244 We measured shoot height (SH), stem diameter (SD), total fresh biomass (TFB), total dry biomass  
245 (TDB) and total leaf chlorophyll content (ChC) as morphological indicators of seedling health and  
246 quality (Haase, 2008) across various shade level treatments during dry and wet seasons. We selected  
247 five *M. eminii* seedlings from each replicated site and measured shoot height using a meter stick. This  
248 was measured as a vertical distance from the cotyledon scar to the end of the growing tip similar to  
249 (Mexal et al. 1990). Stem diameter was measured with digital calipers perpendicular to the stem at the  
250 scar of first leaf as an average of five seedlings in each replicate. Total fresh and dry (dried at 65°C for  
251 48 hours in hot air Asian oven manufactured by IndiaMART, New Delhi) biomass was recorded using  
252 a digital weighing scale and represented shoot and root mass of *M. eminii* seedlings (Haase, 2008;  
253 Mašková and Herben, 2018).

254 Leaf chlorophyll content (ChC) of *M. eminii* seedlings from each shade level were extracted based on  
255 procedures similar to Alpert (1984) and Ngondya et al. (2016). We picked leaves from five seedlings

256 selected randomly from replicates in each treatment. 70 mg of young fresh leaves were immersed in 6  
257 ml of Dimethyl Sulfoxide (DMSO) without grinding, and incubated at 65°C for 12 h in Asian oven  
258 manufactured by IndiaMART, New Delhi. The extract was transferred to a test tube and made up to a  
259 total volume of 10 ml with more DMSO. A 3 ml chlorophyll extract of *M. eminii* leaves were  
260 transferred into glass cuvettes to determine optical density (OD) of the sample. The OD of blank liquid  
261 (DMSO) and that of *M. eminii* samples were determined under 2800 UV/VIS spectrophotometer  
262 (UNICO®) at 663 nm and 645 nm based on (Hiscox and Israelstam, 1979). The absorbance of the  
263 blank was deducted from the absorbance readings of every sample prior to calculations being made. *M.*  
264 *eminii* leaf chlorophyll contents were calculated based on the equation: *Leaf Chlorophyll content* =  
265  $0.0202A_{663} + 0.00802A_{645}$  (Hiscox and Israelstam, 1979); where  $A_{663}$  and  $A_{645}$  are absorbance  
266 readings at 663 nm and 645 nm, respectively.

267 Before analysis, all data were tested for normality using Shapiro Wilks test where results greater than  
268 0.05 were regarded as being normal distributed and those below data were considered to significantly  
269 deviate from normality. Effects of different shade levels and seasonality and their interaction on seed  
270 germination rates were compared using one-way ANOVA in a factorial design using Tukey HSD post  
271 hoc test. Level of significance was set at  $\alpha = 0.05$ . Statistical analysis was carried out in version 20  
272 IBM SPSS and OriginPro 2015 software.

273

### 274 **3. Results**

#### 275 **3.1 Germination during the wet and dry season**

276 During the wet season, the mean number of germinated *M. eminii* seeds did not differ significantly  
277 across shade levels ( $F_{3, 12} = 3.49$ ,  $P = 0.051$ ; Table 1). However, there was a significant trend of the  
278 Final Germination Percentage (FGP) being 1.5 times higher at 0% shade level (L0) than that of 85%  
279 shade level (L85) and the Germination Index (GI) of L0 was twice as high compared to L85 (Table 1).  
280 Cumulative mean germination rate (Figure 4) was highest at L0 and lowest at L85. In general, all  
281 germination parameters declined slightly as shade levels increased (Table 1).

282

283

284

285 **Figure 3**

286 Germination for *M. eminii* seeds in Amani central nursery, Tanzania, in the seventh week of the  
 287 experiment in 2019. Image A = 0% shade level, B= 50% shade level, C = 65% and D = 85% shade  
 288 level. For germination rates over time, see Table 1 and Figure 4.

308 **Table 1**

309 One-way ANOVA test for germination parameters ( $\pm$  SE) during the wet and dry season after 12 weeks  
 310 of germination experiment

Germination parameters	Season	L0	L50	L65	L85	$F_{(3,12)}$	P
Mean Germination Rate	Wet	9 $\pm$ 0.3 <sup>a</sup>	8 $\pm$ 0.4 <sup>a</sup>	9 $\pm$ 1.0 <sup>a</sup>	7 $\pm$ 0.5 <sup>a</sup>	3.49	0.051
	Dry	9.5 $\pm$ 0.6 <sup>a</sup>	7.5 $\pm$ 1.3 <sup>a</sup>	3.7 $\pm$ 1.3 <sup>b</sup>	1.3 $\pm$ 1.0 <sup>c</sup>	48.74	<0.001
Final Germination Percentage	Wet	93 $\pm$ 1.7 <sup>a</sup>	88 $\pm$ 1.8 <sup>b</sup>	78 $\pm$ 1.8 <sup>c</sup>	55 $\pm$ 1.6 <sup>d</sup>	146.05	<0.001
	Dry	95 $\pm$ 2.0 <sup>a</sup>	75 $\pm$ 2.0 <sup>b</sup>	38 $\pm$ 2.0 <sup>c</sup>	13 $\pm$ 1.0 <sup>d</sup>	589.68	<0.001
Mean Germination Time	Wet	38 $\pm$ 1.5 <sup>b</sup>	43 $\pm$ 1.5 <sup>a</sup>	41 $\pm$ 1.5 <sup>a,b</sup>	42 $\pm$ 2.1 <sup>a,c</sup>	7.07	0.005
	Dry	39 $\pm$ 2.1 <sup>a</sup>	41 $\pm$ 2.1 <sup>a,b</sup>	44 $\pm$ 0.6 <sup>b,c</sup>	45 $\pm$ 1.0 <sup>c</sup>	14.46	<0.001
Germination Index	Dry	494 $\pm$ 1.5 <sup>d</sup>	387 $\pm$ 2.1 <sup>b</sup>	430 $\pm$ 2.0 <sup>c</sup>	270 $\pm$ 21 <sup>d</sup>	335.93	<0.001
	Wet	495 $\pm$ 1.0 <sup>a</sup>	313 $\pm$ 1.0 <sup>b</sup>	176 $\pm$ 1.5 <sup>c</sup>	58 $\pm$ 3.0 <sup>d</sup>	921.56	<0.001
Coefficient of Velocity of Germination	Dry	2.7 $\pm$ 0.2 <sup>a</sup>	2.3 $\pm$ 0.2 <sup>b,d</sup>	2.4 $\pm$ 0.1 <sup>b,c</sup>	2.4 $\pm$ 0.1 <sup>c,d</sup>	6.06	0.009
	Wet	2.6 $\pm$ 0.3 <sup>a</sup>	2.0 $\pm$ 0.4 <sup>a</sup>	2.3 $\pm$ 0.2 <sup>a</sup>	2.2 $\pm$ 0.4 <sup>a</sup>	2.69	0.093
Germination Rate Index	Dry	0.3 $\pm$ 0.1 <sup>a</sup>	0.2 $\pm$ 0.1 <sup>a</sup>	0.2 $\pm$ 0.1 <sup>a</sup>	0.2 $\pm$ 0.1 <sup>a</sup>	1.24	0.337
	Wet	0.3 $\pm$ 0.2 <sup>a</sup>	0.2 $\pm$ 0.1 <sup>a</sup>	0.1 $\pm$ 0.1 <sup>a</sup>	0.1 $\pm$ 0.1 <sup>a</sup>	2.44	0.115

311 Data in the same row with different letters represent significant differences between shade levels ( $P < 0.05$ )  
 312 according to Tukey's Post Hoc test. Shade levels: L0 = 0% shade, L50 = 50% shade, L65 = 65% shade and L85  
 313 = 85% shade

314



330  
 331 During the dry season, the mean number of germinated *M. eminii* seeds differed significantly across all  
 332 shade levels. Tukey HSD test indicated that particularly at higher shade levels (65% and 80%), the  
 333 germination rates were less than 30% of that 0%. Final Germination Percentage and Germination Index  
 334 at 0% were both eight times higher than at 85% and GRI was three times higher as compared to that at  
 335 85% (Table 1). Furthermore, it took six days more (MGT) for *M. eminii* seeds to germinate in 85%  
 336 shade as compared to germination time in 0% shade. In the dry season, all measured growth parameters  
 337 (stem diameter, shoot height, total fresh and dry biomass as well as chlorophyll content) differed  
 338 significantly with shade levels (Table 2). Stem diameter decreased with increase in the shade level,  
 339 while ChC increased with increase in shade. At L85, ChC of *M. eminii* seedlings was three times higher  
 340 than ChC in L0 shade level. SH, TFB and TDB increased with increase in shade levels at 50% and 65%  
 341 but they were reduced at 85% shade level.

#### 342 **Figure 5**

343 Prolific germination of *Maesopsis eminii* seeds on the forest floor beneath the mother tree in a forest  
 344 gap (A) and *Maesopsis eminii* sapling thriving in an open forest gap (B) in Amani Nature Forest  
 345 Reserve, Tanzania, in 2019.



### 359 360 **3.2 Effect of seasonality on germination and growth parameters**

361 We assessed the influence of both seasonality and shade level on germination and growth parameters of  
 362 *M. eminii* seeds. All germination parameters were significantly reduced during the dry season expect

363 for GRI and CVG. MGR and FGP were twice as high in wet season as compared to the dry season  
 364 while FGP was three times as high in the wet than in the dry season. The main effect for shade level  
 365 was significant at all germination parameters except for GRI. Similarly, the interaction effect was  
 366 significant to all germination parameters except CVG and GRI (Table 3).

367 **Table 3**

368 Factorial ANOVA to compare main effects of seasonality (dry and wet), shade levels (0%, 50%, 65%  
 369 and 85%) and the interaction between seasonality and shade level on the mean germination parameters  
 370 of *Maesopsis eminii* seeds after 12 weeks.

Germination parameters	Seasonality		Shade level		Interaction	
	$F_{(1,24)}$	$P$	$F_{(3,24)}$	$P$	$F_{(3,24)}$	$P$
Mean Germination Rate	48.96	<0.001	35.91	<0.001	18.52	<0.001
Final Germination Percentage	536.64	<0.001	610.62	<0.001	161.34	<0.001
Mean Germination Time	5.36	0.029	15.51	<0.001	5.52	0.005
Germination Index	4913.61	<0.001	5044.37	<0.001	959.42	<0.001
Coefficient of Velocity of Germination	3.56	0.072	5.99	0.004	0.49	0.692
Germination Rate Index	1.11	0.304	3.43	0.033	0.45	0.720

372 We found that all growth parameters i.e., stem diameter, shoot height, total fresh biomass, total dry  
 373 biomass as well as total chlorophyll content were significantly inhibited during the dry season as  
 374 biomass as well as total chlorophyll content were significantly inhibited during the dry season as  
 375 compared to the wet season. For example, total chlorophyll content and dry biomass were twice as high  
 376 during the wet season as compared to during the dry season and decreased with an increase in shade  
 377 levels. The main effect of shade was significant for all growth parameters while there was no  
 378 significant interaction effect of the two variables except for stem diameter (Table 4)

379 **Table 4**  
 380 Factorial ANOVA comparing main effects of seasonality (dry and wet) and shading levels (0%, 50%,  
 381 65% and 85%) and the interaction effect between seasonality and shading level on mean growth  
 382 parameters of *Maesopsis eminii* seeds after 12 weeks.

Germination parameters	Seasonality		Shade level		Interaction	
	$F_{(1,24)}$	$P$	$F_{(3,24)}$	$P$	$F_{(3,24)}$	$P$
Stem Diameter	26.09	<0.001	23.76	<0.001	3.31	<0.001
Shoot Height	7.33	0.012	32.39	<0.001	0.98	0.419
Total Fresh Biomass	7.98	0.009	103.53	<0.001	0.48	0.694
Total Dry Biomass	60.63	<0.001	12.61	<0.001	0.09	0.963
Total Chlorophyll content	43.77	<0.001	47.72	<0.001	1.94	0.150

385

386 **4. Discussion**

387 Seed germination and seedling establishment of invasive species in natural forest ecosystems are  
388 affected by environmental factors such as light, temperature, seasons and water availability (Leal et al.,  
389 2013). In this study, shade levels significantly influenced seed germination during the dry but not  
390 during the wet season. Similar to our findings, other studies such as Binggeli et al. (1993); Ioana et al.  
391 (2015) and Vieira et al. (2010) have found that invasive plants perform poorly in low light  
392 environments while displaying high survivorship and growth rate under high light conditions. Our  
393 findings of reduced germination during increase of shading level indicate that *M. eminii* seeds exhibit  
394 positive photoblastism, i.e. photoblastic seeds are capable of detecting light quality and quantity, a  
395 physiological process mediated by protein molecules referred to as phytochromes (Fenner and  
396 Thompson, 2005). These photoreceptors have a multiplicity of roles in plant physiology and have been  
397 the subject of plant invasion success and colonization abilities particularly during germination stage  
398 (Gioria and Pyšek, 2017). Our study found that optimal *M. eminii* seed germination occurs when  
399 exposed to 0% shade level during the dry season.

400

401 In our experiment, most (95% Final Germination Percentage) *M. eminii* seeds germinated after 38 days  
402 while seeds at >50% shade level took longer to germinate as compared to 0%. These average times  
403 taken for breaking *M. eminii* seed dormancy and activating germination process is similar to Binggeli  
404 (1989) who reported same germination period for freely fallen fleshy *M. eminii* fruits. Dawson et al.  
405 (2008) and Epila et al. (2017) also found that *M. eminii* seed populations showed high germination rates  
406 particularly in large forest canopy gaps and forest edges as long as soil moisture is sufficient and  
407 arboreal seed dispersers are present.

408 The Germination Index (GI) we calculated combined both percentage and speed of germination and it  
409 magnified the variation among seed lots with an easily compared numerical measurement (Kader,  
410 2005). The high GI we recorded in 0% shade indicated a high germination arithmetic weight, which  
411 emphasizes the difference more clearly between germination percentage and speed along different  
412 shade levels. During the dry season we recorded a GI at 0% that was 737 units larger than at L50.  
413 Similarly, Leal et al (2013), observed that many alien plants show an increase in their germination rates  
414 when exposed to high light conditions, which favors their performance in disturbed areas. Vieira et al.

415 (2010) observed that seeds of an invasive weed, *Cortaderia jubata*, had three times higher germination  
416 success at high light conditions than in the dark in coastal California.

417  
418 Further, we found that morphological growth characteristics and total chlorophyll contents were  
419 similarly influenced by shading level in both dry and wet seasons. According to Haase, (2008) and Qi  
420 et al. (2019) seedling morphology such as stem diameter, shoot height and total biomass allocation are  
421 characteristics most commonly examined in forest seedling stock to evaluate seedling quality. In this  
422 study, we found that most morphological characteristics of *M. eminii* seedlings were influenced by  
423 shade particularly during wet season. A large stem diameter predicted the best growth and survivorship  
424 of *M. eminii* seedlings in the 0 – 50% shade range. This large stem diameter is correlated with larger  
425 root systems and larger stem volume (Haase, 2008).

426  
427 Similarly, shoots were taller in 0%-65% shade levels than under high shade, often associated with  
428 generally higher number of leaves and more access to sunlight enhancing photosynthetic capacity and  
429 transpiration, which is a competitive advantage over other species (Haase, 2008; Ranal and Santana,  
430 2006; Udo et al. 2016). There seemed to be an optimal shade level range for *M. eminii* seedling growth  
431 between 0-65% shade, which is supported by findings of Binggeli (1989) who reported higher seedling  
432 survivorship and lower mortality rate of *M. eminii* seedlings in open environments as compared to  
433 shaded in southern Uganda. Total chlorophyll content followed a similar trend with a maximum value  
434 recorded at 65% shade, agreeing with Galicia-Jiménez et al. (2001) who found higher chlorophyll  
435 content in *Hopea helfery* and *Hopea odorata* under conditions of low light intensities at 58%, 78%,  
436 92% shade level. This mechanism is explained by Dibenedetto (1991) and Niinemets et al. (1998) as a  
437 response used by the plants to optimize quantum harvesting when shading level increases.

438  
439 Our study highlights the importance of adequate light levels in germination processes and hence,  
440 recruitment, establishment and distribution of *M. eminii* in the Amani Nature Forest Reserve. During  
441 the dry season particularly in the study area tall trees grow only little and lose a large number of their  
442 leaves, thereby increasing the amount of light reaching the ground, which then promote the growth of  
443 young seedling (Kilawe et al. 2018, Epila et al 2017). Seasonality impacted germination and  
444 development of *M. eminii* seeds in our study. In the dry season, unlike in the wet, seed germination was  
445 inhibited more strongly by shade the invasive species distribution. Sufficient availability of moisture

446 during the wet season also triggered higher germination of *M. eminii* seeds to the extent of overcoming  
447 the impact of shading as was shown in our study. With advent of the rainy season and of the new flush  
448 of leaves, light levels drop and seedlings may die in the shade unless they are subjected to a gap size of  
449 minimum 300m<sup>2</sup> (Kilawe et al. 2018). Epila et al. (2017) stated similarly that water availability is a  
450 limiting factor for the occurrence of *M. eminii* and they found that 97% of the mapped *M. eminii*  
451 occurred in sites receiving an annual mean rainfall of more than 1000 mm. As reported by Boy (2005),  
452 Ye and Wen (2017) in seedling establishment and overall environmental adaptation, seed germination  
453 represents an important development phase playing a critical role in invasion ecology.

454

## 455 **5. Conclusion**

456 We found that *Maesopsis eminii* seed germination, particularly during the dry season, was higher under  
457 lower shade levels. The ability to germinate not only under high light but also under a wide range of  
458 environmental conditions is one of the distinguishing features for most plant species and allows  
459 exploitation of broad niches, during which competition for resources is low. Our study suggests that  
460 light levels might act as a barrier to the invasive capacity of *M. eminii*, particularly at germination  
461 stage. Hence shade might be limiting its colonization success and wide distribution range in forest  
462 ecosystems. This knowledge has important implications for predicting susceptible ecological niches  
463 and, hence, can foster proactive management strategies in the Amani Forest Reserve. However, the  
464 factors triggering invasive species success might vary at different stages of the invasion process. Given  
465 rapid climatic changes, knowledge of the germination behavior of native and alien species under natural  
466 conditions is crucial for predicting future plant community dynamics. Hence, management of *M. eminii*  
467 invasion needs to take into account light and water availability regimes in the future. This can be  
468 achieved through early detection during the wet season when the species germinates at its highest rate  
469 and through minimizing forest gaps and other disturbances that might create favorable light conditions  
470 for *M. eminii*.

471

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476

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482

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## Conflicts of Interest Statement

Manuscript title: **Effect of Seasonality and Light Levels on Seed Germination of the Invasive Tree *Maesopsis eminii* in Amani Nature Forest Reserve, Tanzania**

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